# A Unique Turtle Effigy from CA-ORA-269

IVAN H. STRUDWICK AND HENRY C. KOERPER

This report describes a siltstone turtle effigy excavated from CA-ORA-269, located in the San Joaquin Hills of Orange County. A discussion broaches the question of why turtle effigies are so rare in the archaeological record of southern California.

ative stone artisans in southern California sculpted a variety of portable effigies described as representing numerous vertebrates, including cetaceans, pinnipeds, sea otters, terrestrial mammals, birds, reptiles, and amphibians (e.g., Alliot 1969:130; Bryan 1930:148, 1970:59; Cameron 2000:20-33, 38-39; de Cessac 1951:9; Decker 1969; Greenwood 1962, 1967, 1969:46-48; Holmes 1902:184, Plate 47; Hudson 1978:262-265; Hudson and Blackburn 1986; Koerper 2005; Koerper and Labbé 1987, 1989; Lee 1981; McKusick and Warren 1959: Figure 12.6; Miller 1991:62; Musser 1980; Putnam 1879:219-222, Figures 101, 102; Rogers 1929:387-388, Plate 74; Schumacher 1877; Zahniser 1981:A4). Even one invertebrate, the sand dollar, joins the menagerie of effigies (Hudson and Blackburn 1986:235; see also Blackburn 1975:96; Hudson and Underhay 1978:52). With little exception (see Lee 1981:82, 111; Lopez 2004), lithic craftsmen seem to have avoided turtle imagery, an observation seemingly at odds with the numerous ethnographic and ethnohistoric references to turtle shell rattles employed in ritual and ceremony. This report describes a highly conventionalized "turtle" recovered from ORA-269 and considers why turtle effigies are underrepresented in the archaeological record.

# **CA-ORA-269**

Site ORA-269 is a rockshelter and associated midden apron on the northern slope of the San Joaquin Hills near their western terminus above Newport Bay (Figure 1). It rests but a short distance north of the San Joaquin Hills Transportation Corridor. The shelter is an enlarged recess into a sandstone boulder outcrop. Measuring approximately 13 m across the face and over 2 m high at its greatest extent, the useful portion of the rockshelter is about 10 m wide and between 3 and 4 m deep.

The site's dense deposit of marine shell, fire-affected rock, and flaked and ground stone artifacts covers an area of approximately 1,800 m<sup>2</sup>, larger than nearly all of the other local San Joaquin Hills

Ivan H. Strudwick, LSA Associates, Inc., 200 Executive Park Suite 200, Irvine, CA 92614 Henry C. Koerper, Cypress Community College, Cypress, CA 90630

Bay

ORA-269

Proceedings of the Society for California Archaeology, Volume 19, 2006, pp 145-150

depression. Together, these design elements, first recorded by Antos (1969), strongly suggest a snake motif. Indeed, the rockshelter was known informally as Rattlesnake Cave owing to this rock art. Additionally, there is a faded red, diamond-shaped pictograph also gracing a rear panel of the shelter near the petroglyph. Coyote Camy Figure 1: Location of CA-ORA-269. **CA-ORA-269** Newport Coast Drive **CA-ORA-270** CA-ORA-1080 Upper 405 Newport Signal Peak 133 ACIFIC OCEAN

rockshelters with associated midden. Radiocarbon assays indicate the shelter was used primarily post-A. D. 100, and principally in the Late Prehistoric Period, although two dates show the shelter was known to the local inhabitants as early as circa 800 B. C. (Strudwick 2004:35). Until recently, a small spring was located 40 m south and downslope from the shelter. This water source surfaced on exposed bedrock and was observed to provide a steady flow of water even in summer months during a three-year drought.

ORA-269 is particularly notable for also being a petroglyph and pictograph site in a county which, due to limited geological formations conducive to rock art preservation, probably has less rock art than any other region in California (McCarthy 1992; Office of Historic Preservation 1988). The petroglyph is a zigzag line and a cupule-like

1000

2000 m

ORA-269 is within a 10-minute walk from another rock art site, ORA-270, which features an incised horizontal diamond chain panel. Fifteen minutes away is ORA-180, which produced a rattlesnake effigy (Koerper 2005) made of the same local siltstone as the turtle effigy discussed herein.

## **EFFIGY DESCRIPTION**

The incised siltstone turtle effigy from ORA-269 (Figure 2) comes from the 30-40 cm level of one of the westernmost excavation units at the western mouth of the shelter overhang. Unit 60 was excavated to 120 cm. Radiocarbon data from nearby Units 25 and 51 (Strudwick 2004:35) indicate that the turtle-like carving likely dates between A. D. 1500 and 1800.

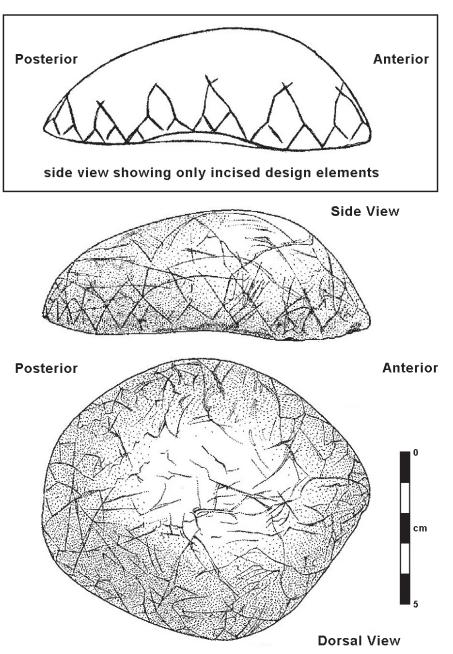
The artifact measures 85.8 mm in length, 70.4 mm in width, and 30.9 mm in height, and it weighs 240.5 g. It is made from half a siltstone cobble. The cobble has a naturally rounded appearance and was stream-worn prior to being split in two with a blow to what is now the posterior area of the "turtle."

A plethora of intersecting incised lines run the entire periphery of the inferior margin of the "carapace," or dorsal shell covering the back of the effigy (Figure 2). The artist's intention, it seems, was to suggest the lower sculpted surface of a turtle's dorsal shell. However, the repeating triangular and other design elements offer an imperfect copy of the quadrilateral scutes, or horny plates, circumferentially decorating the lower carapace of the western pond turtle (*Clemmys marmorata*; see Figure 3), almost certainly the referent species (see Jaeger and Smith 1971:42, 43; Schoenherr 1992:626). Incising toward the upper surface of the carapace offers an even poorer mimic to the superior scutes since the lines appear somewhat haphazard, not clearly setting out any convincing pattern of natural polygonal divisions. Nonetheless, the overall appearance of combined plastic and graphic aspects suggests the artifact is a turtle effigy.

The dorsal side also exhibits polishing and wear, and is darker around the periphery. The wear of the upper surface has partially obliterated some of the sculpted design. The darkness to the periphery of the dorsal side appears to have been caused by dirt and grime being rubbed into the stone, probably by being held for extended periods. The effigy's plastron (ventral shell covering the belly of a turtle) somewhat mimics the morphology of the bottom surface of a real turtle. This surface also exhibits incising, including two carefully made lines that divide the ventral shell into quarters. One line runs the full length of the item and is transected by lateral incising running from one edge to the other. The intent of the artisan appears to have been the creation of design elements imitating the large horny plates that cover the outer surface of a turtle's plastron.

Observing the specimen from the flat ventral surface and with the anterior end upward, some slight controlled percussion is evident in three areas. Along the forward right side, there are two adjacent flake scars, and a single small flake has been removed from the lower right





side. Also, the majority of the upper left side exhibits several small percussion flake scars. The aforementioned incised lines were produced prior to the edge flaking, since some incisions end abruptly where flakes were removed.

Subsequent to the incising and then flaking, the bottom surface was unevenly worn and polished, with greatest wear along one edge. This is evident in the once-sharp edges, which are worn, and also in the incised lines, which appear smoothed. Although all of the edges are worn, the smoothest is the right side of the item, the side opposite that most heavily flaked, when the item's ventral surface is viewed anterior end up. The polishing appears to have been purposeful and probably occurred against a soft surface such as a hide.

The polish exhibited on the periphery and base of the ventral side, in conjunction with the discoloration caused by holding the artifact, suggests the possibility that the once-sharp edges of this split siltstone cobble may have been used to scrape or soften hides. If the turtle effigy had also been used as a tool, it would make the object all the more unusual. The question of possible utilitarian function is the subject of future research.

# DISCUSSION

Perhaps the extreme rarity of turtle effigies in the archaeological record of southern California might reflect an absence of any significant role for the reptile in regional cosmology, although there may have been some important role of which anthropological science is unaware. Interestingly, there are certain animals with high profiles in regional worldview that also seem not to have been immortalized in stone, including the coyote and most of the avenging animals of the god Chinigchinich. Some animals with cosmological importance, such as certain cetaceans and the swordfish, have received some attention from stone craftsmen.

Some of the animals represented in stone were important sources of food, particularly cetaceans and fish, but some were not eaten. Overall, the pond turtle probably contributed little to the menu. Rather, the greatest contribution of the turtle to the lives of local Native peoples was probably its shell, which was used for the manufacture of a musical instrument.

In regional ethnography, the turtle shell rattle (figures 3 and 4) is the most frequently documented containment-type percussion instrument (e.g., Boscana 1978:42, 58; Driver 1941:35; Drucker 1937:25; DuBois 1908:181, 183; Harrington 1934:38, 1935:82, Figure 76, 1942:28; Hudson et al. 1977:82, 84; Hudson and Blackburn 1986:329-332; Kroeber 1925:641; Sparkman 1908:210; White 1963:130). The turtle shell rattle also appears sporadically in the archaeological record of both the Channel Islands (e.g., Gifford 1940:176, 221; Heye 1921:114, 115, Plate 71; Van Valkenburgh 1932:52) (Figure 3 A and B) and the mainland (e.g., Wallace 1980).

Coadunated shells, carapace plus plastron (figures 3 and 4), provide the basic containment chamber for a rattle's moving elements,

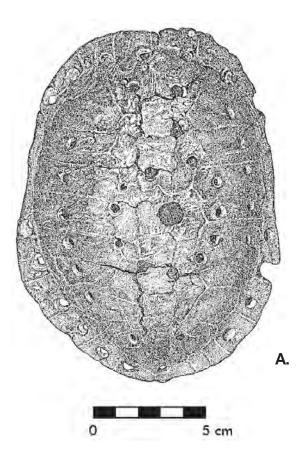
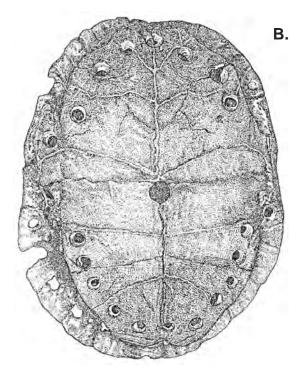


Figure 3: Turtle (Clemmys marmorata) shell rattle from Long Beach. (A) dorsal view (showing carapace), (B) ventral view (showing plastron). After Wallace 1980:102-104.



that is, small stones (e.g., Boscana 1978:42) or seeds (see Harringte 1978:160). Asphaltum was applied to plug the holes where the animal head, tail, and legs had once protruded (Hudson and Blackbu 1986:33). From one to three, rarely as many as five western pond turt (*Clemmys marmorata*) shells would be set transversely on shafts th served as handles (Harrington 1978:160, also 1935:82).

Curiously, turtle imagery is virtually absent from the cosmology coastal southern California, yet turtle shell rattles are writ large various ceremonial venues. Boscana (1978:42), for instance, relat that turtle shell rattles were continually shaken as on the occasion of Juaneño chief dancing in the *vanquech* before the Chinigchinich imag or as when a ritual with undertones of a fertility/fecundity themat involved the son of a Juaneño chief dancing and adorned for the fii time publicly wearing the *tobet* (Boscana 1978:60; Harrington 1934:4 42; see Kroeber 1925:641). Both sexes, at least for some dances, cou use this type of instrument (Boscana 1978:58; Harrington 1934:38).

The Chumash shook turtle shell rattles in both the Fox Dance at the Bear Dance (Hudson et al. 1977:82, 84). Turtle shell rattles we also used in girls' puberty rites (e.g., Driver 1941:35).

In Luiseño territory, turtle shell rattles assumed the stature of power objects in rites of peacemaking between rival rancherias. Raymond White (1963:13) records events that forced the people of Pauma to sue for peace following fierce fighting against Pechanga. Assembled together in the Pechanga *wamkish*, the rival groups feasted, sang songs of insult, hate, and aggression, and witnessed "obscene" dances. When the Pauma war chief used his turtle shell rattle to accompany his singers, all manner of hatred and aggression was said to have been channeled into the instrument, which the war chief subsequently smashed to pieces. This ceremony of peace concluded with the broken parts being buried in the *wamkish*. The ritual was repeated soon after but roles were reversed, with the inhabitants of Pauma now hosting their former Pechanga enemies.

Parenthetically, Hudson and Blackburn (1986:330) wrote that the turtle shell instrument was gradually replaced by other percussive containment instruments made of tin cans or cowhide. However, in some places the turtle shell rattle survived as a ritual instrument into the twentieth century. For instance, in 1911, William McPherson (1968:137) witnessed this artifact in a *totenish*, or image ceremony, held on the Pechanga Reservation. The mourning ceremony in which this rattle was used would have guaranteed that the spirits of the deceased, which were represented by images, would forever depart.

On a final thought, had prehistoric native people had need of turtle imagery, for whatever symbolic purposes, that need may have been covered by possession of the actual shell made into the rattle. This may have acted to lessen demand for turtle representations in stone.

#### Acknowledgments

We thank The Irvine Company, and also LSA Associates, Inc., especially Steve Conkling and Debbie McLean, for the opportunity to study the unusual turtle effigy from ORA-269. We greatly appreciate the effort of Joe Cramer, who



Figure 4: Shell rattle, probably Diegueño, housed at the San Diego Museum of Man. After Wallace 1980:106.

produced the illustrations in figures 2 - 4. Tyson Koerper provided Figure 1, and Kris Walden of the LSA Graphics Department assisted with figure production.

#### **R**EFERENCES CITED

Alliot, Hector

 Burial Methods of the Southern California Islanders. *The Masterkey* 43:125-131. Originally published 1916, *Bulletin of the Southern California Academy of Sciences*, Vol. 15, Part 1.

Antos, J.

1969 Archaeological Site Record, CA-ORA-269. On file, South Central Coastal Information Center, California State University, Fullerton.

Blackburn, Thomas

1975 *December's Child: A Book of Chumash Oral Narratives*. University of California Press, Berkeley.

# Boscana, Geronimo

1978 Chinigchinich: A Revised and Annotated Version of Alfred Robinson's Translation of Father Geronimo Boscana's Historical Account of the Beliefs, Usages, Customs and Extravagancies of the Indians of this Mission San Juan Capistrano Called the Acagchemem Tribe [1846]. Edited by P. T. Hanna. Malki Museum Press, Banning, California. Originally published 1933, Fine Arts Press, Santa Ana, California. Bryan, Bruce

- 1930 San Nicolas Island, Treasure House of the Ancients: Part 1. Art and Archaeology 29:147-156.
- 1970 *Archaeological Explorations on San Nicolas Island*. Southwest Museum Papers No. 22. Southwest Museum, Los Angeles.

## Cameron, Constance

2000 Animal Effigies from Coastal Southern California. *Pacific Coast Archaeological Society Quarterly* 36(2):30-52.

# de Cessac, Leon

1951 Observations on the Sculpted Stone Fetishes in Animal Form Discovered on San Nicolas Island (California). *University of California Archaeological Survey Annual Report* 12:1-5. Berkeley.

# Decker, Dean A.

1969 Early Archaeology on Catalina Island: Potential and Problems. University of California, Los Angeles Archaeological Survey Annual Reports 11:73-84.

## Driver, Harold E.

1941 Culture Element Distributions: XVI, Girls' Puberty Rites in Western North America. *University of California Anthropological Records* 6(2):21-90. Berkeley.

## Drucker, Philip

1937 Culture Element Distributions: V, Southern California. *University* of California Anthropological Records 1:1-52. Berkeley.

# Dubois, Constance Goddard

1908 The Religion of the Luiseño Indians of Southern California. University of California Publications in American Archaeology and Ethnology 8:69-186. Berkeley.

## Gifford, Edward W.

1940 Californian Bone Artifacts. University of California Anthropological Records 3:153-237. Berkeley.

#### Greenwood, Roberta S.

- 1962 A Stone Carving from the Browne Site. *The Masterkey* 36:4-7.
- 1967 A Second Stone Sculpture from the Browne Site. *The Masterkey* 41:84-87.
- 1969 The Browne Site: Early Milling Stone Horizon Southern California. Memoirs of the Society for American Archaeology No. 23. Salt Lake City, Utah.

## Harrington, John P.

1934 A New Original Version of Boscana's Historical Account of the San Juan Capistrano Indians of Southwest California. Smithsonian Miscellaneous Collections No. 92(4). Washington, D.C.

- 1935 Field-Work among the Mission Indians of California. In *Explorations and Field-Work of the Smithsonian Institution in 1934*, pp. 81-84. Washington, D.C.
- 1942 Culture Element Distributions: XIX, Central California Coast. University of California Anthropological Records 7:1-46. Berkeley.
- 1978 Annotations. In *Chinigchinich: A Revised and Annotated Version* of Alfred Robinson's Translation of Father Gerónimo Boscana's Historical Account of the Belief, Usages, Customs and Extravagancies of the Indians of this Mission of San Juan Capistrano Called the Acagchemem Tribe, edited by P. I. Hanna. Malki Museum Press, Banning, California.

#### Heye, George

1921 *Certain Artifacts from San Miguel Island, California.* Indian Notes and Monographs No. 7(4). Museum of the American Indian, Heye Foundation, New York.

# Holmes, William H.

1902 Anthropological Studies in California. *United States National Museum Annual Report* 1900:155-187. Washington, D.C.

# Hudson, D. Travis

1978 An Unusual Stone Effigy from San Clemente Island, California. Journal of California Anthropology 5:262-266.

# Hudson, Travis, and Thomas C. Blackburn

1986 The Material Culture of the Chumash Interaction Sphere, Vol. IV: Ceremonial Paraphernalia, Games and Amusements. Ballena Press Anthropological Papers No. 30. Menlo Park, California.

Hudson, Travis, Thomas Blackburn, Rosario Curletti, and Janice Timbrook

1977 The Eye of the Flute: Chumash Traditional History and Ritual as Told by Fernando Librado Kitsepawit to John P. Harrington. Santa Barbara Museum of Natural History, Santa Barbara, California.

## Hudson, Travis, and Ernest Underhay

1978 *Crystals in the Sky: An Intellectual Odyssey Involving Chumash Astronomy, Cosmology and Rock Art.* Ballena Press Anthropological Papers No. 10. Menlo Park, California.

## Jaeger, Edmund C., and Arthur C. Smith

1971 Introduction to the Natural History of Southern California. California Natural History Guides No. 13. University of California Press, Berkeley.

## Koerper, Henry C.

2005 A Rattlesnake Effigy from CA-ORA-1080. Manuscript on file, LSA Associates, Irvine, California.

Koerper, Henry C., and Armand Labbé

- 1987 A Birdstone from San Diego County, California: A Possible Example of Dimorphic Sexual Symbolism in Luiseño Iconography. *Journal of California and Great Basin Anthropology* 9:110-120.
- 1989 "Libidinal Symbolism," Etcetera...Rejoinder to Cameron (1988). Pacific Coast Archaeological Society Quarterly 25(3):46-52.

## Kroeber, A. L.

1925 *Handbook of the Indians of California*. Bureau of American Ethnology Bulletin No. 78. Washington, D.C.

# Lee, Georgia

1981 The Portable Cosmos: Effigies, Ornaments, and Incised Stone from the Chumash Area. Ballena Press Anthropological Papers No. 21. Ballena Press, Socorro, New Mexico.

#### Lopez, Robert

2004 A Unique Artifact Type from Rancho Atillio, Ventura County. Journal of California and Great Basin Anthropology 24:289-294.

# McCarthy, Daniel F.

1992 A Brief Description of Three Rock Art Sites within the San Joaquin Hills, Orange County, California. In *Rock Art Papers, Vol. 9*, edited by Ken Hedges, pp. 163-169. San Diego Museum of Man Papers No. 28. San Diego.

#### McKusick, Marshall B., and Claude N. Warren

1959 Introduction to San Clemente Island Archaeology. University of California, Los Angeles Archaeological Survey Annual Reports 1958-1959:106-184.

## McPherson, William

1968 *Image Ceremony of the Mission Indians.* Pioneer Press, Santa Ana. Originally published in *Orange County Historical Series* 1:135-139.

#### Miller, Bruce W.

1991 The Gabrielino. Sand River Press, Los Osos, California.

### Musser, Ruth

1980 A Steatite "Owl" Effigy from San Diego County, California. Journal of California and Great Basin Anthropology 2:280-283.

#### Office of Historic Preservation

1988 *California Archaeological Site Inventory: The Rock Art Sites of California.* California Department of Parks and Recreation, Sacramento.

Putnam, Frederick W.

1879 Sculptures. In Report upon United States Geographical Surveys West of the One Hundredth Meridian, Vol. 7, pp. 218-221. Government Printing Office, Washington, D.C.

Rogers, David Banks

1929 *Prehistoric Man of the Santa Barbara Coast.* Santa Barbara Museum of Natural History. Santa Barbara, California.

# Schoenherr, Alan A.

1992 *A Natural History of California*. California Natural History Guides No. 56. University of California Press, Berkeley.

#### Schumacher, Paul

1877 Ancient Graves and Shell-Heaps of California. *Smithsonian Institution Annual Report* 1874:335-350. Washington, D.C.

## Sparkman, Philip S.

1908 The Culture of the Luiseño Indians. University of California Publications in American Archaeology and Ethnology 8:187-234. Berkeley.

# Strudwick, Ivan H.

2004 CA-ORA-269: A Rockshelter Habitation in the San Joaquin Hills of Coastal Southern California. Paper presented at the 38<sup>th</sup> Annual Meeting of the Society for California Archaeology, Riverside.

#### Van Valkenburgh, Richard

1932 Archaeological Excavations on Fraser Point, Santa Cruz Island, California, 1932. Manuscript on file, Santa Barbara Museum of Natural History, Santa Barbara, California.

## Wallace, William J.

1980 A Turtle Shell Rattle from Long Beach. *The Masterkey* 54:102-107.

#### White, Raymond C.

1963 Luiseño Social Organization. University of California Publications in American Archaeology and Ethnology 48:91-194. Berkeley.

#### Zahniser, Jack L.

1981 *The Prehistory of San Clemente Island Prolegomena: Cultural Resources of San Clemente Island, California.* Chambers Consultants and Planners, Stanton, California.

. . . . . . . . . . . . . . . . . .